

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see
37 CFR 1.5)

09/701854

INTERNATIONAL APPLICATION NO.
PCT/EP99/03893

INTERNATIONAL FILING DATE
June 4, 1999

PRIORITY DATE CLAIMED
June 4, 1998

TITLE OF INVENTION

METHOD AND SYSTEM FOR CLEANING SEMICONDUCTOR ELEMENTS

APPLICANT(S) FOR DO/EO/US

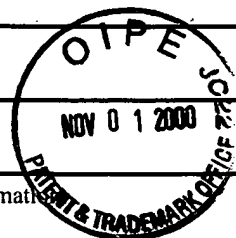
GOTTSCHALK, Christiane; SCHWECKENDIEK, Jürgen; BRAMMER, Ulrich

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
6. ☒ A Verified Translation of the International Application into English (35 U.S.C. 371(c)(2)). (14 pgs)
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(C)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(C)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** Preliminary Amendment (3 pgs)
☐ A **SECOND** OR **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - Copy of PCT Notice Form PCT/IB/308 (2 pgs)
 - Copy of International Publication No. WO99/62649 (16 pgs)
 - Copy of PCT Demand (PCT/RO/101) (4 pgs)
 - Copy of Search Report (PCT/ISA/210) (5 pgs)
 - Copy of Chapter II Demand (PCT/IPEA/401) (4 pgs)
 - Copy of International Preliminary Examination Report (PCT/IPEA/409) (5 pgs)



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17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a)(5)):

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$100.00

528 PCT/PTO 01 DEC 2000

CALCULATIONS PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration of later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$ 0

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	24 - 20 =	4	X \$18.00
Independent claims	2 - 3 =	0	X \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00

\$ 72.00

\$ 0.00

\$ 0

TOTAL OF ABOVE CALCULATIONS = \$ 932.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) \$ 0.00

SUBTOTAL = \$ 932.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$ 0.00

TOTAL NATIONAL FEE = \$ 932.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ 0.00

TOTAL FEES ENCLOSED = \$ 932.00

Amount to be:
refunded \$
charged \$

a. ☒ A check in the amount of \$932.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 20-0531.

NOTE: When an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Patent Administrator
Testa, Hurwitz & Thibault, LLP
125 High Street
High Street Tower
Boston, MA 02110

SIGNATURE

Jamie H. Rose

NAME

45,054

REGISTRATION NUMBER

December 1, 2000

DATE

EXPRESS MAIL
097701854
No. EL 702626030US

528 Rec'd PCT/PTO 01 DEC 2000

Verification of Translation

Re : INTERNATIONAL APPLICATION NO : PCT/EP99/03893

I, Carole Jean Metcalfe of 17 Forbes Crescent, Larbert, FK5 3LX, Scotland,
am the translator of Patent Application PCT/EP99/03893 and I state that
the following is a true translation to the best of my knowledge and belief.

Carole Metcalfe
(Carole J. Metcalfe)

Larbert/Scotland,

Date: 8 November 2000